STATE OF INDIANA)	BEFORE THE INDIANA
) SS:	COMMISSIONER OF INSURANCE
COUNTY OF MARION)	
	CAUSE NO.: 18180-AD19-0712-068
IN THE MATTER OF:)
)
Shannon J. Little)
10101 David Taylor Drive,	
Suite 250,	
Charlotte, NC 28262	
	OCT 25 2019
Applicant.)
	STATE OF INDIANA
Type of Agency Action: Enforcement	DEPT. OF INSURANCE
N 11 17 TO TO (100)
Application ID: 706489	

PRELIMINARY ADMINISTRATIVE ORDER AND NOTICE OF LICENSE DENIAL

The Indiana Department of Insurance ("Department"), pursuant to the Indiana Administrative Orders and Procedures Act, Indiana Code § 4-21.5-1 *et seq.* and Indiana Code § 27-1-15.6-12, hereby gives notice to Shannon J. Little ("Applicant") of the following Administrative Order:

- 1. Applicant submitted an application for nonresident producer licensure with the Commissioner of the Indiana Department of Insurance ("Commissioner") on April 9, 2019.
- 2. Before approving an application, the Commissioner must find that the applicant has met specific requirements under Indiana Code § 27-1-15.6-8 and Indiana Code § 27-1-15.6-12.

- 3. Indiana Code § 27-1-15.6-12(b)(1) provides, in part, that the Commissioner may refuse to issue a producer's license for providing incorrect, misleading, incomplete, or materially untrue information in a license application.
- 4. Indiana Code § 27-1-15.6-12(b)(8) provides, in part, that the Commissioner may refuse to issue an insurance producer license for using fraudulent, coercive, or dishonest practices, or demonstrating incompetence, untrustworthiness, or financial irresponsibility in the conduct of business in Indiana, or elsewhere.
- 5. Indiana Code § 27-1-15.6-12(b)(9) provides, in part, that the Commissioner may refuse to issue a producer's license for having an insurance producer license, or its equivalent, denied, suspended, or revoked in any other state, province, district, or territory.
- 6. Following a review of materials submitted by the Applicant, and a review of public records, the Commissioner, being fully advised, now hereby notifies Applicant that she has not fully met the requirements of licensure, as stated by Indiana Codes §§ 27-1-15.6-12(b)(1), 27-1-15.6-12(b)(8), and 27-1-15.6-12(b)(9) due to Applicant's criminal history, failure to disclose administrative actions from the states of California and Florida, and for Applicant being denied licensure in the State of Florida.
- 7. Indiana Code § 27-1-15.6-12(d) provides that the Applicant may, not more than sixty-three (63) days after notice of denial of the applicant's application is mailed, make written demand to the Commissioner for a hearing before the Commissioner to determine the reasonableness of the Commissioner's action.

IT IS THEREFORE ORDERED that Applicant's request for licensure is hereby DENIED pursuant to Indiana Codes §§ 27-1-15.6-12(b)(1), 27-1-15.6-12(b)(8), and 27-1-15.6-12(b)(9) due to Applicant's criminal history, Applicant's failure to disclose administrative actions taken by the states of California and Florida, and for Applicant being denied licensure from the State of Florida. Applicant may reapply for licensure not less than one (1) year from the date of this order.

10-25-2019

Date Signed

Stephen W. Robertson, Commissioner Indiana Department of Insurance

Distribution:

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